

# Worcester Polytechnic Institute

## Annual Conflict of Interest Disclosure

Name \_\_\_\_\_

Title and Rank \_\_\_\_\_

Department \_\_\_\_\_

### **Part I Conflict of Interest Screening Questions** *(For yes answers, please list and explain in an attached statement)*

1. Do you have a consulting or other financial or managerial relationship with a sponsor of your research or sponsor of student projects?      yes      no
2. Do you have a managerial, fiduciary, or advisory role, *a significant<sup>1</sup> financial interest<sup>2</sup>* or relationship with a company or organization in a field of your research and/or scholarly activities, including teaching and advising (where applicable), with a company or organization that does business with the University?      yes      no
3. Do you have non-university professional or income-producing activities involving either WPI students or other staff?      yes      no
4. To your knowledge, do you or any member of your immediate family have any other relationships, commitments or activities that might represent or appear to present a conflict of interest with your WPI appointment? Such relationships may include financial or fiduciary interests or uncompensated activities.      yes      no

### **Part II Affirmation**

I affirm that I have read the Conflict of Interest Policy <sup>3</sup> and that the above information is true to the best of my knowledge.

Signature \_\_\_\_\_ Date \_\_\_\_\_  
(Please submit to your department head for administrative approval)

### **Administrative Review and Approval**

#### **If No Activity is Reported:**

\_\_\_\_ No activity is reported and, to the best of my knowledge, no conflict of interest exists.  
(Sign form, return a copy to individual, and forward to the Office of Research Administration)

#### **If Any Activity is Reported: (Complete the Conflict of Interest Review and forward to the Office of Research Administration)**

##### **Conflict of Interest Review**

Based on the activity reported and, to the best of my knowledge and in my judgment:

- a) \_\_\_\_ No conflict of interest exists.
- b) \_\_\_\_ A conflict of interest may exist, but does not appear to be significant.  
(If so, attach an explanation)
- c) \_\_\_\_ A conflict of interest that warrants further review may exist.

#### **Please complete if question 3 on this form is answered in the affirmative:**

As described by the academic staff member, the involvement of WPI students and/or staff in his/her non-university activities does not appear to be detrimental to those individuals.

- a) \_\_\_\_ Agree    b) \_\_\_\_ Disagree (If so, attach an explanation)

Department Head Signature \_\_\_\_\_ Date \_\_\_\_\_

<sup>1</sup>WPI considers an ownership interest of more than 5% by you or members of your immediate family to be a significant conflict in purchasing decisions.

<sup>2</sup> Here *significant financial interest* is used as defined in the WPI Conflict of Interest Policy.

<sup>3</sup> Copies of the *Conflict of Interest Policy* may be obtained from your department office or the Office of Research Administration.